

# Particle Swarm Optimization over Non-Polynomial Metamodels for Fast Process Variation Resilient Design of Nano-CMOS PLL

Oleg Garitselov, Saraju P. Mohanty, Elias Kougiannos, Geng Zheng

NanoSystem Design Laboratory (<http://nsdl.cse.unt.edu>), Dept. of Computer Science and Engineering  
University of North Texas, USA. Email-ID: saraju.mohanty@unt.edu

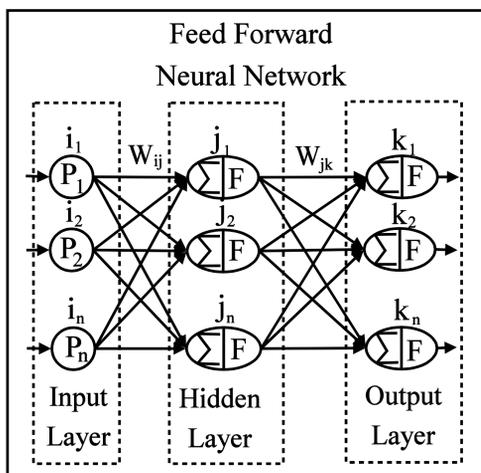
## Abstract

A automated top-down design flow to achieve physical design of an AMS-SoC has always been very difficult. The design efforts have been further increased when the silicon is due to nanoscale CMOS. The various nanoscale effects, particularly, the process variation effects have profound effects on the performance of the performance of silicon versus the layout design. In this paper metamodels (aka surrogated models) and particle swarm optimization (PSO) have been combined in an automated physical design flow for fast design exploration of AMS-SoC. The neural network based non-polynomial metamodels that handles large number of design parameters are used to predict the statistical process variation effects instead of the exhaustive Monte Carlo simulations over the circuit netlist. The statistical analysis over non-polynomial metamodels were as very fast while the error was only 0.7%. The PSO algorithm is used for optimization of the AMS-SoC components using their non-polynomial metamodels instead of the actual circuit. The PSO algorithm followed a two step approach: local and global. The physical design phase-locked loop (PLL) is considered as a case study circuit. The proposed design flow is approximately 5 times faster while the error is under 2% compared to the Monte Carlo analysis.

## Introduction

A metamodel is a mathematical formula that represents the circuit's behavior within a given range of parameters and is derived from sampling points.

The neural network metamodel considered has the form:



A metamodel is created on a full RCLK (resistance, capacitance, self and mutual inductance) parasitic extracted netlist, for each figure of merit.

This study is attempting to answer two main questions for mixed signal circuits:

- How accurately can metamodels predict process variation behavior?
- Can metamodels be used for optimization and account for process variation?

## Case Study Circuit

The proposed approach has been used on a phase locked loop (PLL) designed in 180 nm technology. The figure below shows PLL structure.

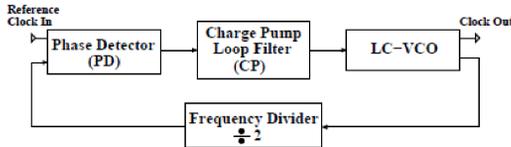


Figure 1: Block diagram of a phase locked loop.

Schematic and then physical layout is created for the circuit.

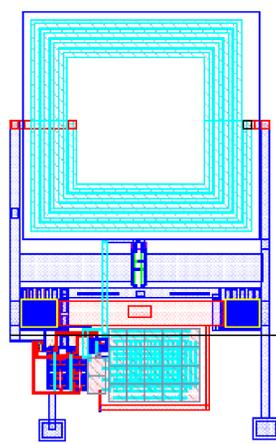


Figure 4: Physical Layout of the optimized PLL.

Extracted parasitic netlist is characterized with a wide range for 35 parameters. Parameters include device sizing, VDD variation, and process variation parameters s.a. threshold voltage and oxide thickness.

Table 1: Parameter Ranges and Optimization Results For WiiMAX and MMDS Specifications

Circuit	Component	Parameter Name	min	max
Phase Detector	DFE1 PMOS	$W_{ppd1}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	DFE1 NMOS	$W_{npd1}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	DFE2 PMOS	$W_{ppd2}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	DFE2 NMOS	$W_{npd2}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	DFE2 Length	$L_{npd2}$	180 nm	200 nm
	AND PMOS	$W_{ppd3}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
Charge Pump	AND NMOS	$W_{npd3}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	AND Length	$L_{npd3}$	180 nm	200 nm
	M3, M4	$W_{pCP1}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M5, M6	$W_{nCP1}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
LC-VCO	M1, M2	$W_{pCP2}$	4 $\mu\text{m}$	20 $\mu\text{m}$
	M7, M8, M9	$W_{nCP2}$	2 $\mu\text{m}$	20 $\mu\text{m}$
	Length NMOS	$L_{nCP}$	180 nm	200 nm
	Length PMOS	$L_{pCP}$	180 nm	200 nm
Dividor	NM1, NM2	$W_{nLC}$	3 $\mu\text{m}$	20 $\mu\text{m}$
	PM1, PM2	$W_{pLC}$	6 $\mu\text{m}$	40 $\mu\text{m}$
	Length PMOS	$L_{pLCVCO}$	180 nm	200 nm
	Length NMOS	$L_{nLCVCO}$	180 nm	200 nm
Global	M5	$W_{n1Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M6	$W_{n2Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M7	$W_{n3Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M8	$W_{n4Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M9	$W_{n5Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M1	$W_{p1Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M2	$W_{p2Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M3	$W_{p3Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	M4	$W_{p4Div}$	0.4 $\mu\text{m}$	2 $\mu\text{m}$
	Length PMOS	$L_{pDIV}$	180 nm	200 nm
Length NMOS	$L_{nDIV}$	180 nm	200 nm	
Global	Oxide Thickness NMOS	$T_{oxn}$	2 nm	5 nm
	Oxide Thickness PMOS	$T_{oxp}$	2 nm	5 nm
	Threshold Voltage NMOS	$V_{THN}$	0.08 V	0.88 V
	Threshold Voltage PMOS	$V_{THP}$	0.03 V	0.83 V
	Supply voltage	$V_{dd}$	1 V	1.4 V

200 training samples are used to create the neural model. 60 samples are used for verification.



Table 2: Before Optimization: Statistical Figures of Merits of the PLL.

	Circuit Monte Carlo		Neural Network Monte Carlo		Error	
	Mean ( $\mu$ )	Standard Deviation ( $\sigma$ )	Mean ( $\mu$ )	Standard Deviation ( $\sigma$ )	Mean ( $\mu$ )	Standard Deviation ( $\sigma$ )
Frequency	2.66 GHz	10.95 MHz	2.66 GHz	10.96 MHz	0.0%	0.11%
Power	0.90 mW	0.21 mW	0.90 mW	0.21 mW	0.14%	1.3%
Locking Time	3.24 $\mu\text{s}$	1.07 $\mu\text{s}$	3.22 $\mu\text{s}$	0.99 $\mu\text{s}$	0.7%	6.93%
Horizontal Jitter	2.79 ps	1.32 ps	2.80 ps	1.32 ps	0.12%	0.5%
Vertical Jitter	0.41 mV	0.17 mV	0.41 mV	0.15 mV	0.53%	10.02%

## Neural Model Verification

After successful training of the neural model the accuracy of neural model for process variation is checked by conducting Monte Carlo analysis of 1000 points on physical netlist and neural model.

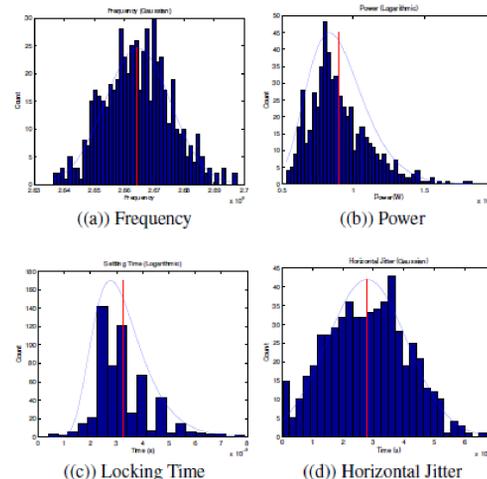


Figure 2: Statistical Analysis of the FoM of PLL using Actual Circuit (netlist).

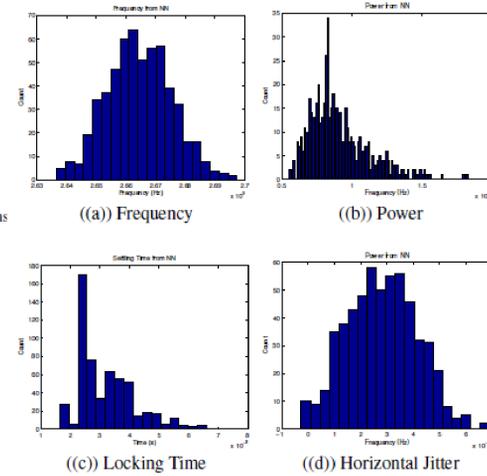


Figure 3: Statistical Analysis of the FoM of PLL using Neural Network based Non-Polynomial Metamodels.

## Particle Swarm Optimization

Particle Swarm optimization algorithm is used on the neural model to find optimal values that are process variation resilient.

Table 3: After Optimization: Statistical Figures of Merits of the PLL.

	$\mu + \sigma$ Optimization		$\mu + 3\sigma$ Optimization	
	Mean ( $\mu$ )	Standard Deviation ( $\sigma$ )	Mean ( $\mu$ )	Standard Deviation ( $\sigma$ )
Frequency	2.75 GHz	28.64 MHz	2.74 GHz	29.14 MHz
Power	0.99 mW	0.28 mW	0.98 mW	0.27 mW
Locking Time	4.69 $\mu\text{s}$	1.15 $\mu\text{s}$	4.61 $\mu\text{s}$	1.13 $\mu\text{s}$
Horizontal Jitter	5.82 ps	3.42 ps	5.97 ps	3.34 ps

Algorithm 1 Particle Swarm Optimization (PSO)

- 1: Set N - number of particles
- 2: Start at a random location with uniform distribution
- 3: Get current position  $x_i$  and use it initially for best particle position  $f(p_i)$  and  $f(g) = \min(p_i)$
- 4:  $v_i \leftarrow U(\min_{p_i}, \max_{p_i})$
- 5: Initialize iter=0
- 6: Initialize weight for swarm effect  $\rho_p$
- 7: Initialize weight for swarm effect  $\rho_g$
- 8: Initialize weight for velocity effect (acceleration/inertia)  $w$
- 9: while iter <  $\max_{iterations}$  do
- 10: for each i do
- 11:  $v_i = \omega v_i + \rho_p \tau_p (p_i - x_i) + \rho_g \tau_g (g - x_i)$
- 12:  $x_i \leftarrow x_i + v_i$
- 13: if  $f(x_i) < f(p_i)$  then
- 14: update position:  $p_i \leftarrow x_i$
- 15: if  $f(p_i) < f(g)$  then
- 16:  $g \leftarrow p_i$
- 17: end if
- 18: end if
- 19: end for
- 20: end while

Algorithm 2 Cost Function  $f(p_i)$  Calculation

- 1: Receive parameters
- 2: Conduct Monte Carlo 1000 points
- 3: Calculate  $freq_{\mu}, freq_{\sigma} = \text{frequency}(\mu, \sigma)$
- 4: if  $freq_{\mu} + freq_{\sigma} < freq_{constraint}$  then
- 5: Calculate  $power_{\mu}, power_{\sigma} = \text{power}(\mu, \sigma)$
- 6: Calculate  $lockingtime_{\mu}, lockingtime_{\sigma} = \text{lockingtime}(\mu, \sigma)$
- 7: Calculate  $horjitter_{\mu}, horjitter_{\sigma} = \text{horjitter}(\mu, \sigma)$
- 8: Normalize  $\mu + k * \sigma$  for all values
- 9: Return FoM = sum(normalized values)
- 10: end if

## Conclusion

PLL circuit is characterized for Frequency, Power consumption, Locking time and horizontal jitter of the output signal.

Optimization is conducted for:

- a)  $\mu + \sigma$
- b)  $\mu + 3\sigma$

Optimization results are shown in Table 3.

An error of under 2% has been observed in the models for process variation analysis for and standard deviation. Mc analysis for 1000 simulation points for PLL netlist took approximately 5 days in comparison to 1 day for 200 neural network training points. The speed up of approx. 5X is observed for using NN for optimization.



This research is supported in part by NSF awards CNS-0854182 and DUE-0942629, and SRC contract P10883.